

Modeling and Control of an Industrial High Velocity Oxygen-Fuel (HVOF) Thermal Spray Process

Mingheng Li, Dan Shi and Panagiotis D. Christofides

Department of Chemical Engineering
University of California, Los Angeles



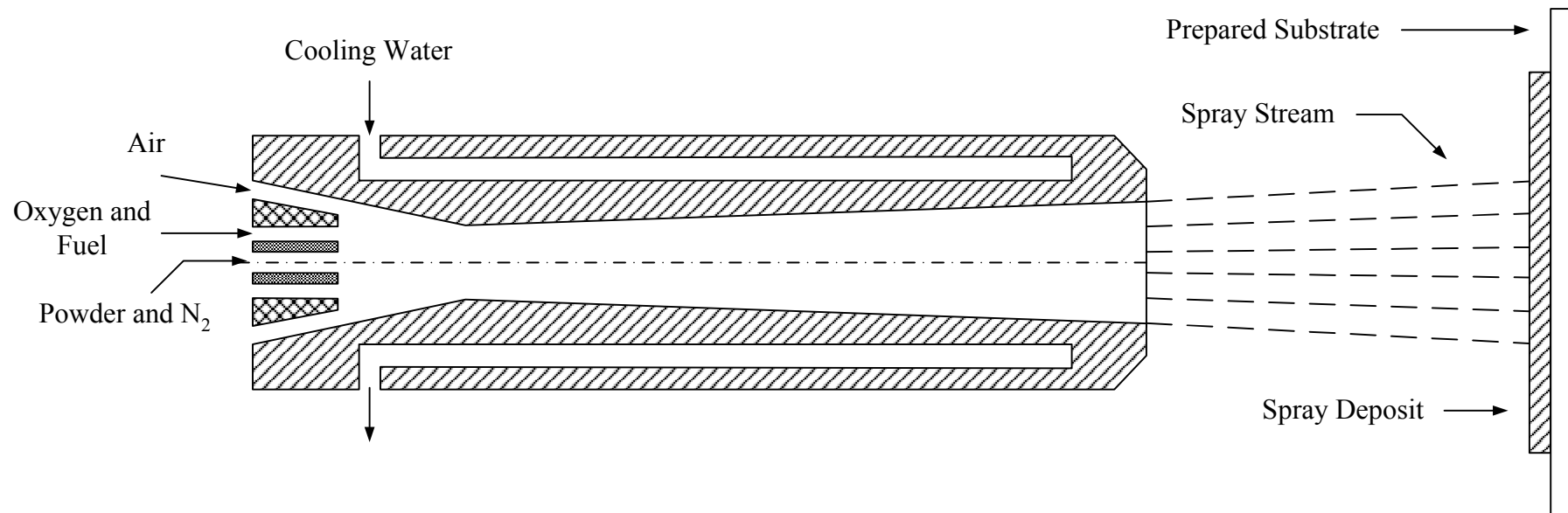
7th Southern California
Nonlinear Control Workshop
Oct. 31 - Nov. 1, 2003



OUTLINE OF THE PRESENTATION

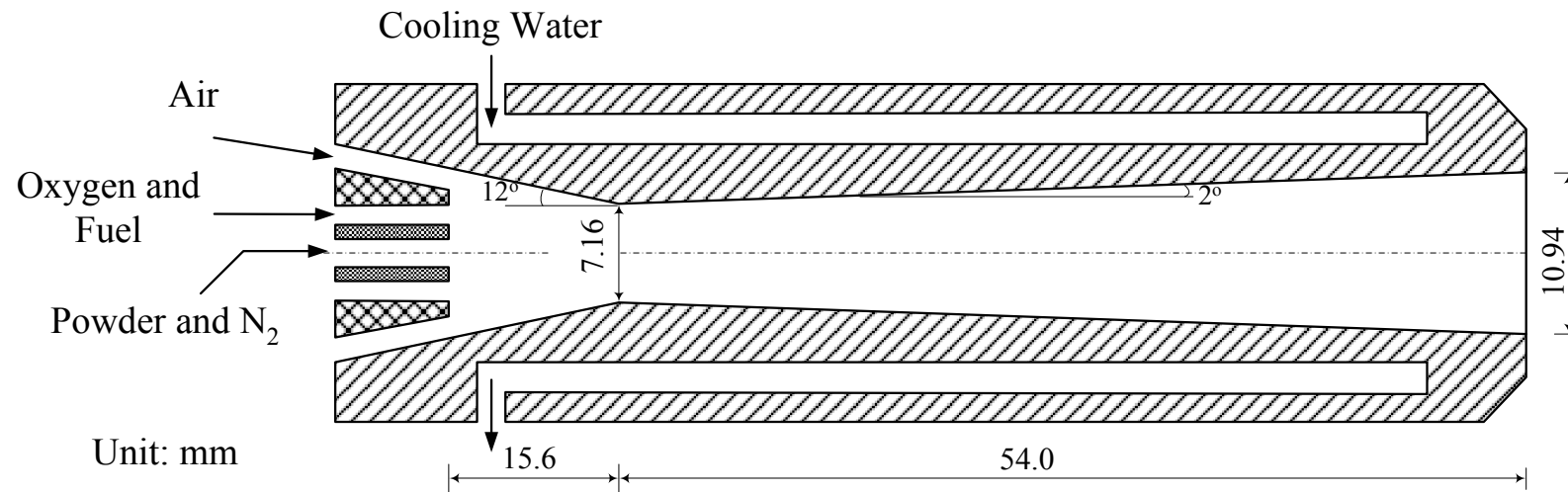
- Introduction.
 - ◇ High Velocity Oxygen-Fuel (HVOF) thermal spray process.
 - ◇ Motivation for process control.
 - ◇ Background on the modeling and control of the HVOF thermal spray process.
- Current work.
 - ◇ Modeling of gas and particle behavior in the Metco Diamond Jet Hybrid HVOF thermal spray process.
 - ◇ Stochastic modeling of coating microstructure.
 - ◇ Effect of operating conditions on particle velocity and temperature.
 - ◇ Feedback control of the Metco Diamond Jet hybrid HVOF thermal spray process.

DIAMOND JET HYBRID HVOF THERMAL SPRAY PROCESS



CHARACTERISTICS OF THE DIAMOND JET HYBRID HVOF THERMAL SPRAY PROCESS

- Schematic diagram of the Metco Diamond Jet (DJ) hybrid HVOF gun.



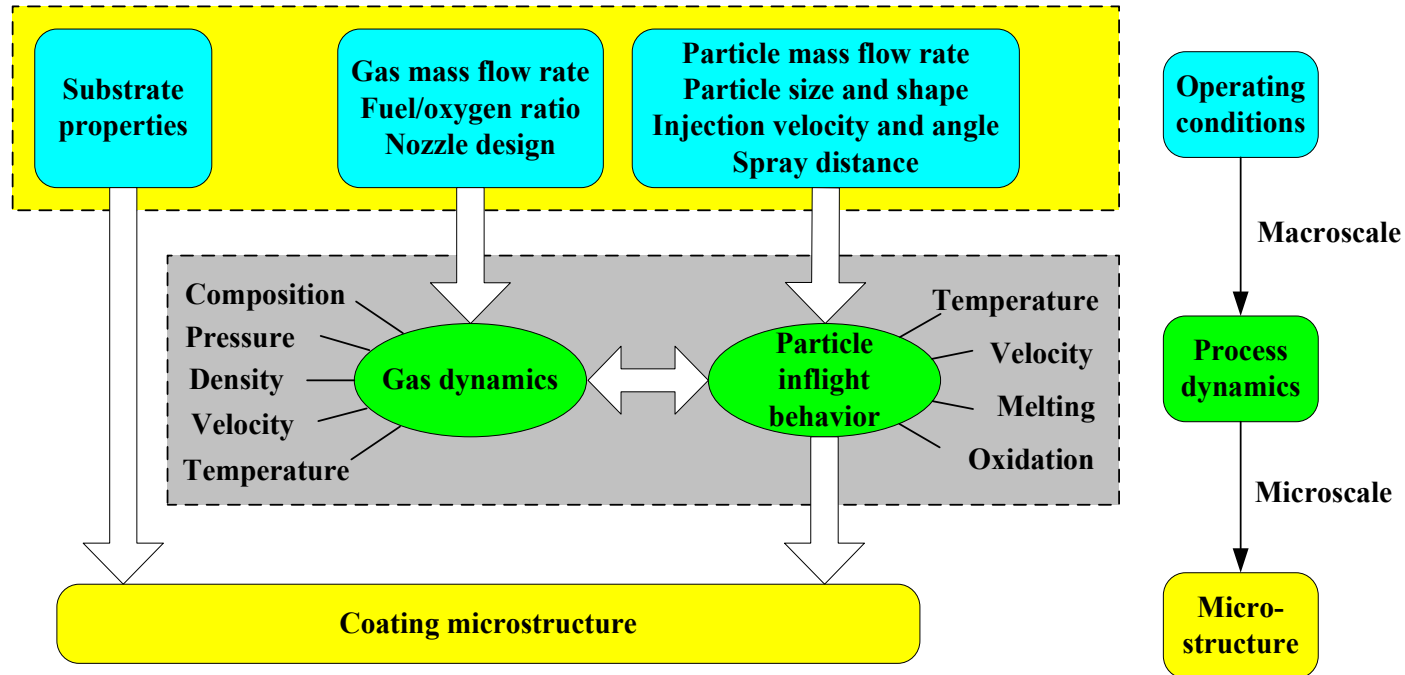
- Typical operating conditions.
 - ◇ Gas flow rate $\approx 18 \text{ g/s}$ (12 l/s).
 - ◇ Powder feed rate $20\text{-}80 \text{ g/min}$.
 - ◇ Powder size $5\text{-}45 \mu\text{m}$.
 - ◇ Coating thickness $100\text{-}300 \mu\text{m}$.
 - ◇ Spray distance $150\text{-}300 \text{ mm}$.
- Process characteristics.
 - ◇ Flame temperature $\approx 2800 \text{ }^\circ\text{C}$.
 - ◇ Exit Mach number ≈ 2 .
 - ◇ Exit gas velocity $\approx 2000 \text{ m/s}$.
 - ◇ Deposition efficiency $\approx 70\%$.
 - ◇ Coating porosity $\approx 1\text{-}2\%$.

MODELING AND CONTROL: MOTIVATION AND BACKGROUND

- Motivation for process control.
 - ◇ To suppress the influence of external disturbances and to reduce coating variability.
 - ◇ To produce coatings with desired microstructure and resulting thermal and mechanical properties.
- Fundamental modeling of the HVOF process.
 - ◇ Modeling of thermal/fluid field in HVOF process using CFD technology (e.g. Power *et al.*, 1991, Dolatabadi *et al.*, 2002) or semi-empirical method (e.g. Tawfik and Zimmerman, 1997).
 - ◇ Modeling of coating microstructure evolution and porosity (e.g. Cai and Lavernia, 1997, Ghafouri-Azar *et al.*, 2003).
- Control of thermal spray process.
 - ◇ Advances in on-line particle temperature and velocity measurement.
 - ◇ PID control in plasma thermal spray process (Fincke *et al.*, 2002).

CONTROL PROBLEM FOR THE HVOF PROCESS

- Multiscale feature of the HVOF process.



- Main control objectives.

◇ Velocity, temperature and molten state of particles at impact.

- Manipulated inputs:

Total gas flow rate

Fuel/oxygen ratio

- On-line measurements:

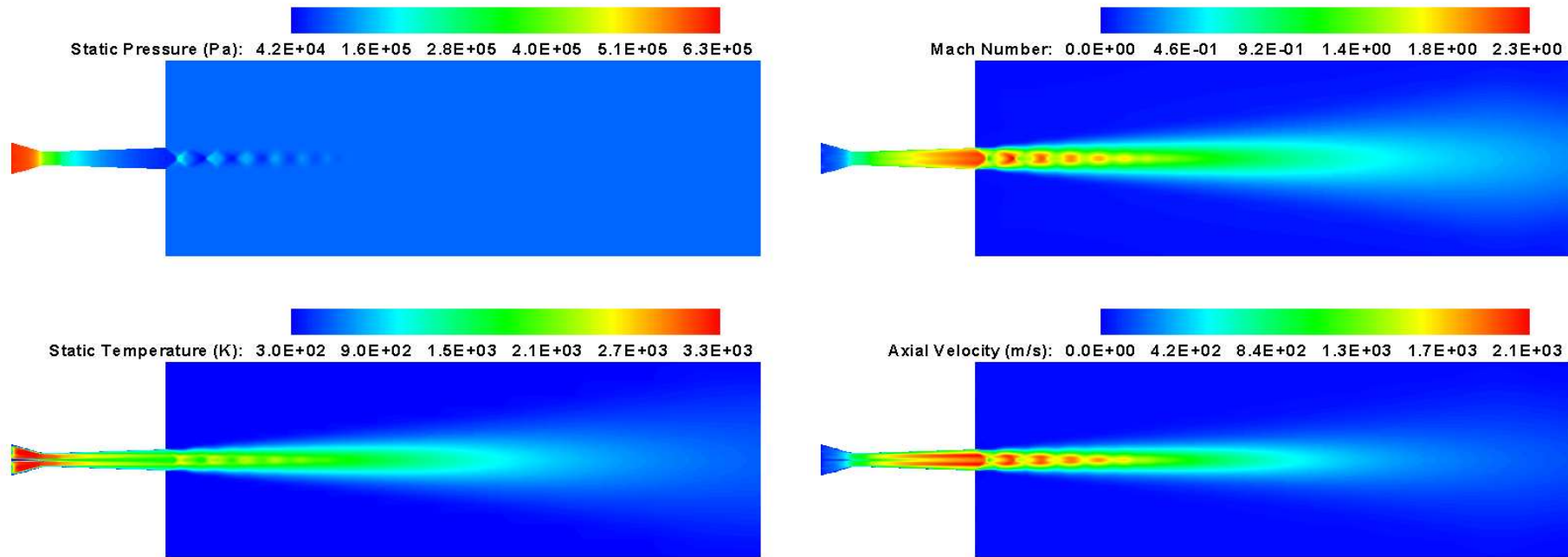
Particle velocity

Particle temperature

MODELING OF THE DJH HVOF PROCESS - CFD

(Li, Shi and Christofides, CES, 2004)

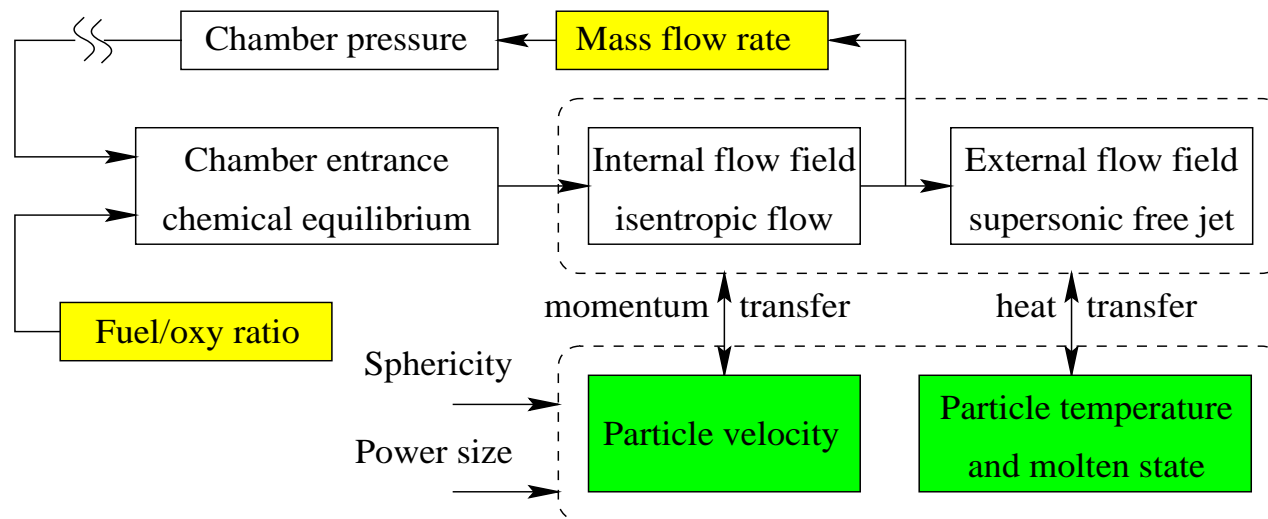
- Contours of pressure, mach number, temperature and velocity.



- Flow/thermal field characteristics.
 - ◇ Overexpanded flow at the exit of the torch ($P_e < P_b$).
 - ◇ Compression and Expansion waves (shock diamonds) alternatively occur along the centerline in the external flow field.

MODELING OF THE HVOF PROCESS - SIMPLIFIED MODEL (Li, Shi and Christofides, IECR, 2003)

- Main assumptions.
 - ◇ One way coupling of the two-phase flow due to small particle loading.
 - ◇ Steady gas fluid/thermal field.
 - ◇ Chemical equilibrium at the entrance of the combustion chamber.
 - ◇ Isentropic frozen flow during passage of the Laval nozzle.
- Modeling procedure.



- Simulated pressure under four different operating conditions are all within 6% of the experimentally measured values provided by the manufacturer.

MODEL OF GAS PHASE BEHAVIOR

- Chemical equilibrium is solved by minimization of Gibbs energy (Gordon and McBride, 1994).
- Internal flow field is solved by laws governing isentropic compressible flow (Roberson and Crowe, 1997)

$$\frac{T_2}{T_1} = \frac{1 + [(\gamma - 1)/2]\mathbf{M}_1^2}{1 + [(\gamma - 1)/2]\mathbf{M}_2^2}, \quad \frac{P_2}{P_1} = \left\{ \frac{1 + [(\gamma - 1)/2]\mathbf{M}_1^2}{1 + [(\gamma - 1)/2]\mathbf{M}_2^2} \right\}^{\frac{\gamma}{\gamma-1}},$$

$$\frac{\rho_2}{\rho_1} = \left\{ \frac{1 + [(\gamma - 1)/2]\mathbf{M}_1^2}{1 + [(\gamma - 1)/2]\mathbf{M}_2^2} \right\}^{\frac{1}{\gamma-1}}, \quad \frac{A_2}{A_1} = \frac{\mathbf{M}_1}{\mathbf{M}_2} \left\{ \frac{1 + [(\gamma - 1)/2]\mathbf{M}_2^2}{1 + [(\gamma - 1)/2]\mathbf{M}_1^2} \right\}^{\frac{(\gamma+1)}{2(\gamma-1)}},$$

$$\dot{m}_g = \rho_t v_t A_t = \frac{P_0}{\sqrt{T_0}} A_t \left[\frac{\gamma \bar{M}_{pr}}{R} \left(\frac{2}{\gamma + 1} \right)^{(\gamma+1)/(\gamma-1)} \right]^{1/2}$$

- External flow field is correlated by empirical formulas (Tawfik and Zimmerman, 1997).

$$\left. \begin{array}{l} v/v_e \\ (T - T_a)/(T_e - T_a) \end{array} \right\} = 1 - \exp \left(\frac{\alpha}{1 - \bar{x}/\beta} \right)$$

MODEL OF PARTICLE INFLIGHT BEHAVIOR

- Model for particle velocity, temperature and degree of melting in the gas flow field.

$$m_p \frac{dv_p}{dt} = \frac{1}{2} C_D \rho_g A_p (v_g - v_p) |v_g - v_p|, \quad v_p(0) = v_{p0}$$

$$\frac{dx_p}{dt} = v_p, \quad x_p(0) = 0$$

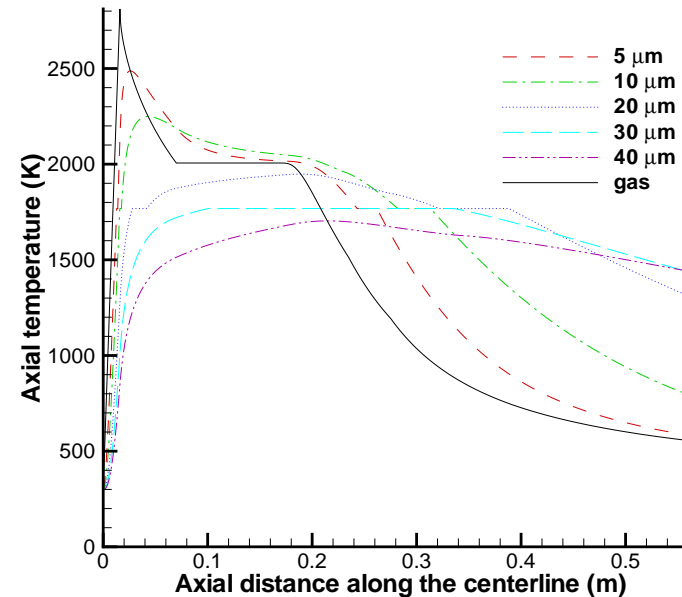
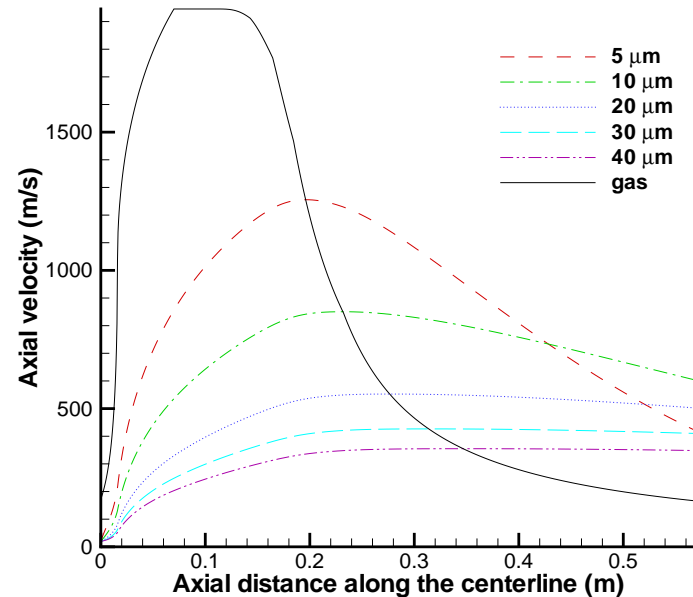
$$m_p c_{pp} \frac{dT_p}{dt} = \begin{cases} hA'_p(T_g - T_p) + S_h, & (T_p \neq T_m) \\ 0, & (T_p = T_m) \end{cases}, \quad T_p(0) = T_{p0}$$

$$\Delta H_m m_p \frac{df_p}{dt} = \begin{cases} hA'_p(T_g - T_p) + S_h, & (T_p(0) = T_m) \\ 0, & (T_p \neq T_m) \end{cases}, \quad f_p(0) = 0$$

- 4th Runge-Kutta method is used to solve the above differential equations together with the gas dynamics.

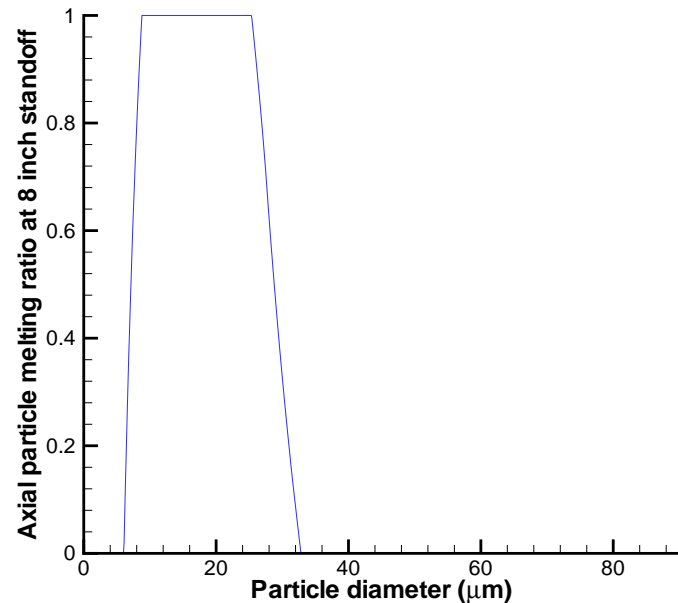
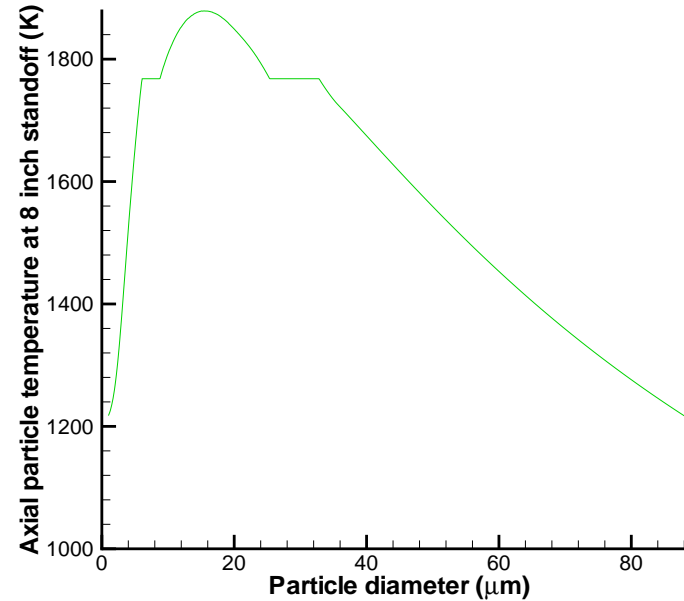
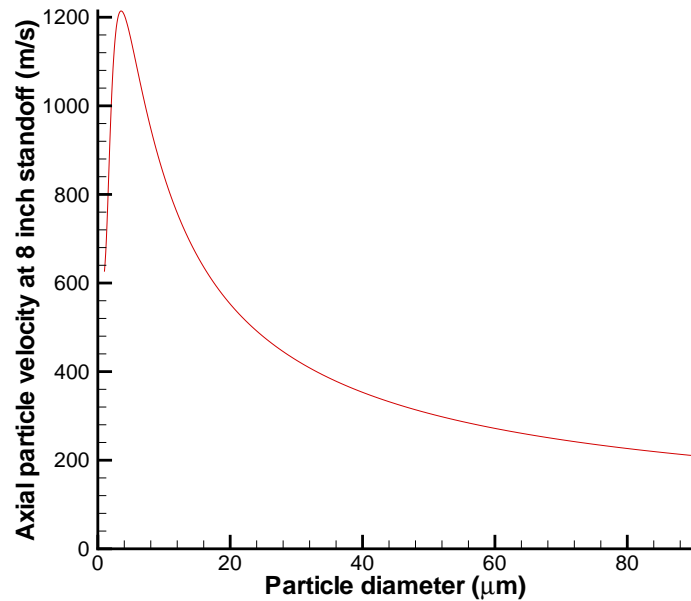
GAS AND PARTICLE INFLIGHT BEHAVIOR

- Axial velocity & temperature profiles of gas and particles of different sizes.



- Gas velocity & temperature decay in the free jet due to entrainment of air.
- Particles are accelerated and heated first, and then decelerated and cooled because of the velocity and temperature decay of the supersonic free jet.
- Small particles change velocity and temperature easier than bigger ones because of their smaller momentum and thermal inertias.

PARTICLE VELOCITY AND TEMPERATURE AT IMPACT



- ◇ Particle velocity, temperature and degree of melting are strong functions of particle size.
- ◇ Particles of moderate sizes have the larger velocity and temperature than other ones.
- ◇ Particles of different sizes may have different molten states.

MODELING OF POWDER SIZE DISTRIBUTION

(Li and Christofides, CES, 2003; JTST, 2003)

- Lognormal size distribution.

$$f(d_p) = \frac{1}{\sqrt{2\pi}\sigma d_p} \exp\left[-\frac{(\ln d_p - \mu)^2}{2\sigma^2}\right]$$

- Cumulative weight function.

$$F = \frac{\int_0^{d_p} \frac{1}{6}\pi\rho x^3 f(x) dx}{\int_0^{\infty} \frac{1}{6}\pi\rho x^3 f(x) dx} = \int_{-\infty}^{\frac{\ln d_p - (\mu + 3\sigma^2)}{\sigma}} \frac{1}{\sqrt{2\pi}} e^{-\frac{x^2}{2}} dx$$

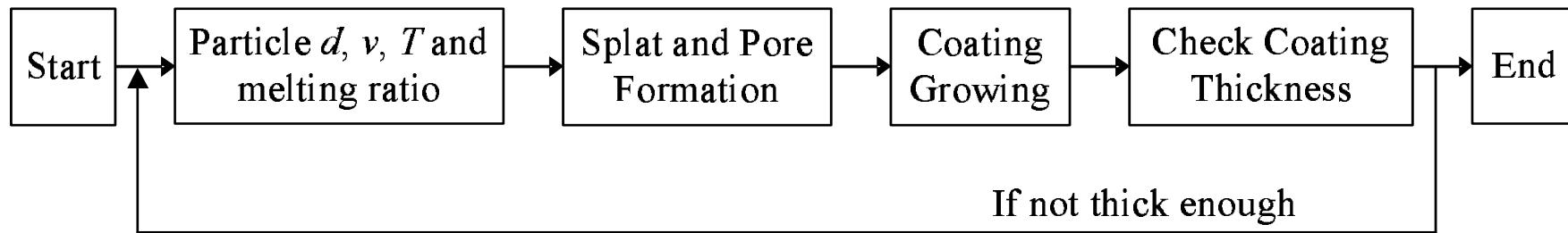
- Volume-based average of particle properties (PP).

$$\overline{PP} = \frac{\int_0^{\infty} \frac{1}{6}\pi d_p^3 PP(d_p) f(d_p) d(d_p)}{\int_0^{\infty} \frac{1}{6}\pi d_p^3 f(d_p) d(d_p)} = \frac{\int_0^{\infty} d_p^3 PP(d_p) f(d_p) d(d_p)}{\exp(3\mu + \frac{9}{2}\sigma^2)}$$

STOCHASTIC MODELING OF COATING MICROSTRUCTURE

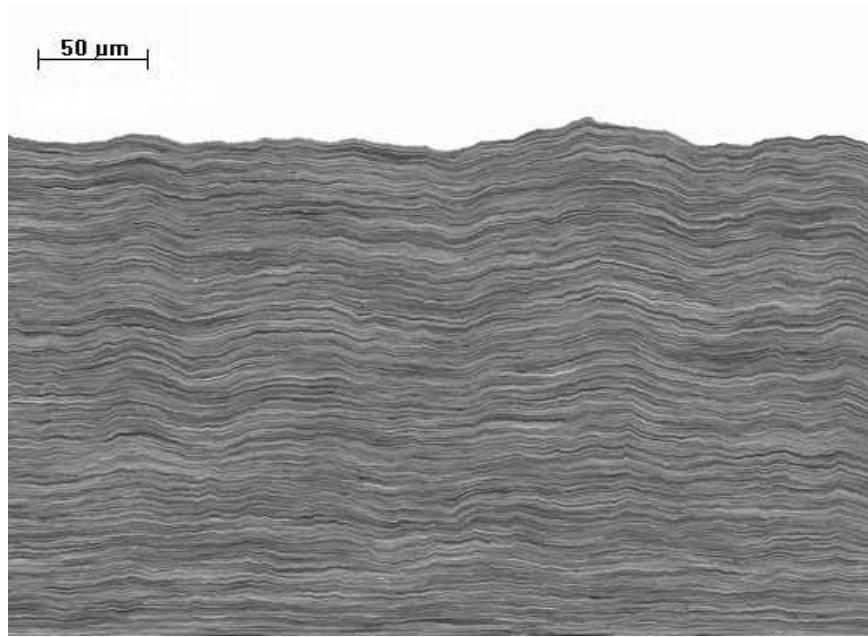
(Shi, Li and Christofides, IECR, 2003)

- Modeling procedure.



- Particle size and hitting point are determined by random numbers following **lognormal distribution** and **uniform distribution**, respectively.
- Particle velocity, temperature and melting ratio at impact are solved by the previously described thermal spray process model.
- Coating growth is described by several rules.
 - ◇ Particle deformation obeys Madejski model (1991).
 - ◇ Melted part of a particle fits the coating surface as much as possible.
 - ◇ Unmelted part of a particle bounces off the coating surface if it hits a solid surface and attaches to it otherwise.

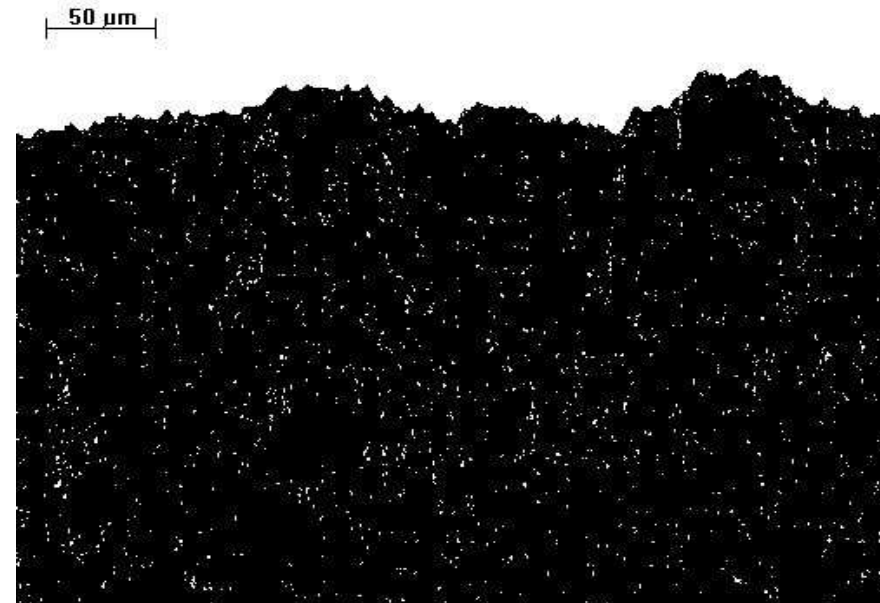
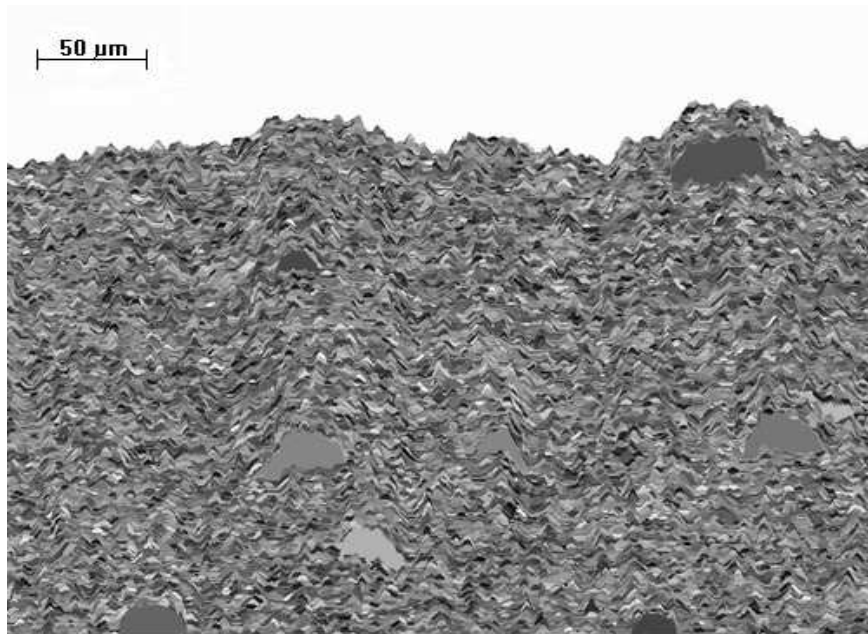
COMPUTER SIMULATION OF COATING MICROSTRUCTURE



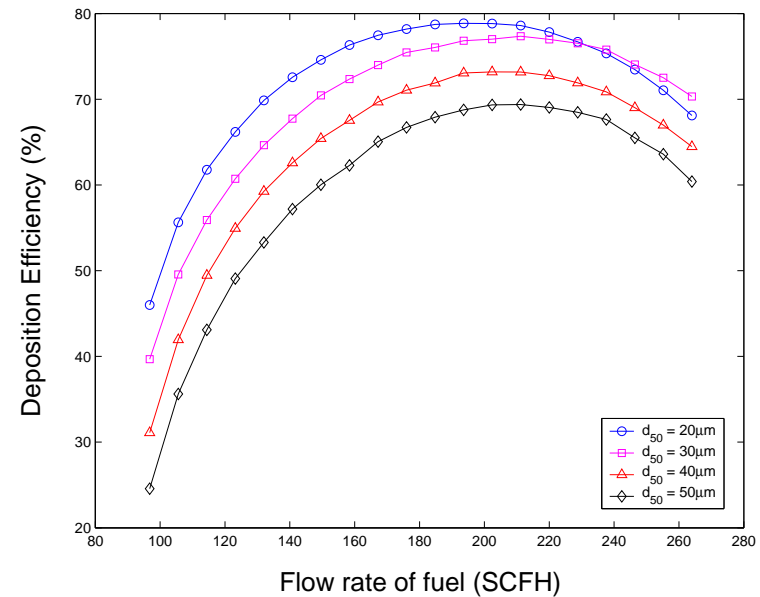
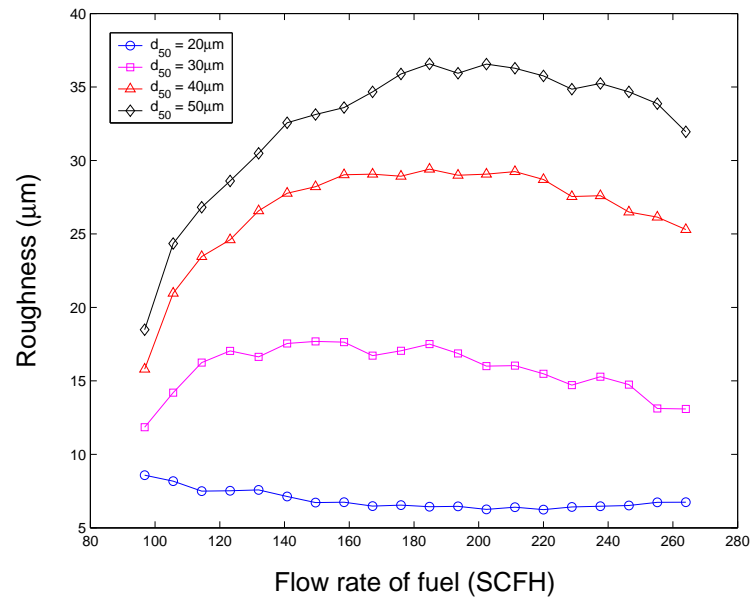
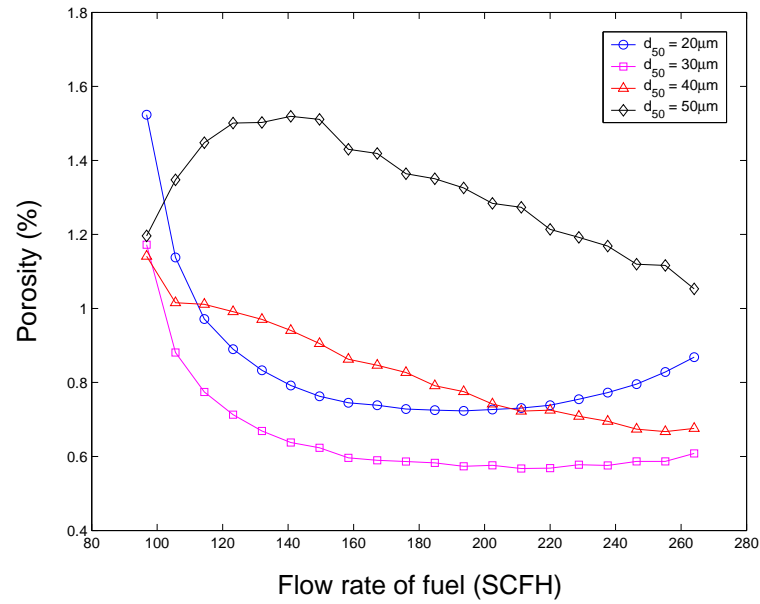
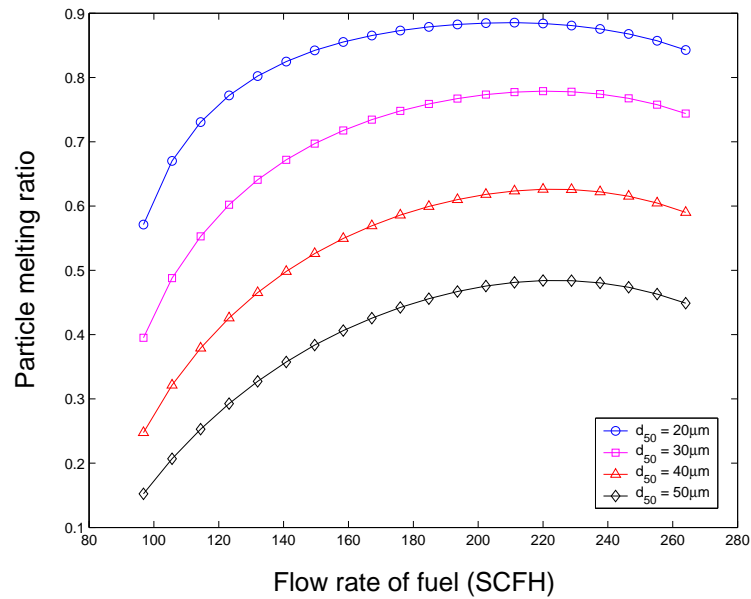
◇ Ideal lamellar microstructure of a coating formed by fully melted particles (top).

◇ Microstructure of a coating formed by particles of nonuniform molten states (bottom left).

◇ Pores distribution in a coating formed by particles of nonuniform molten states (right bottom).

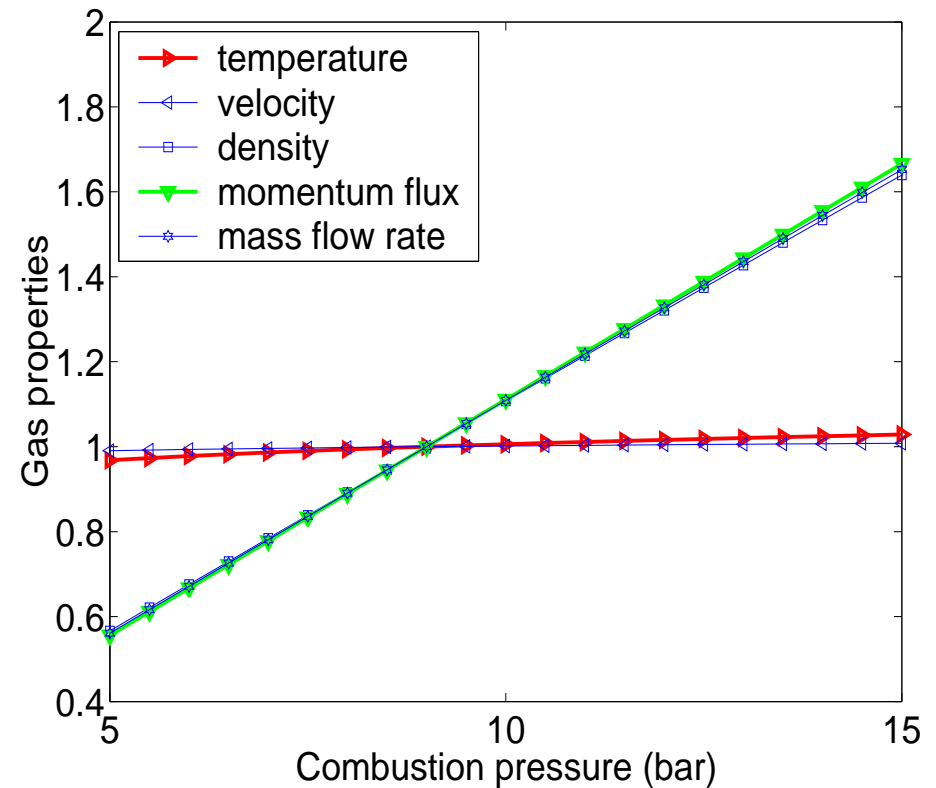
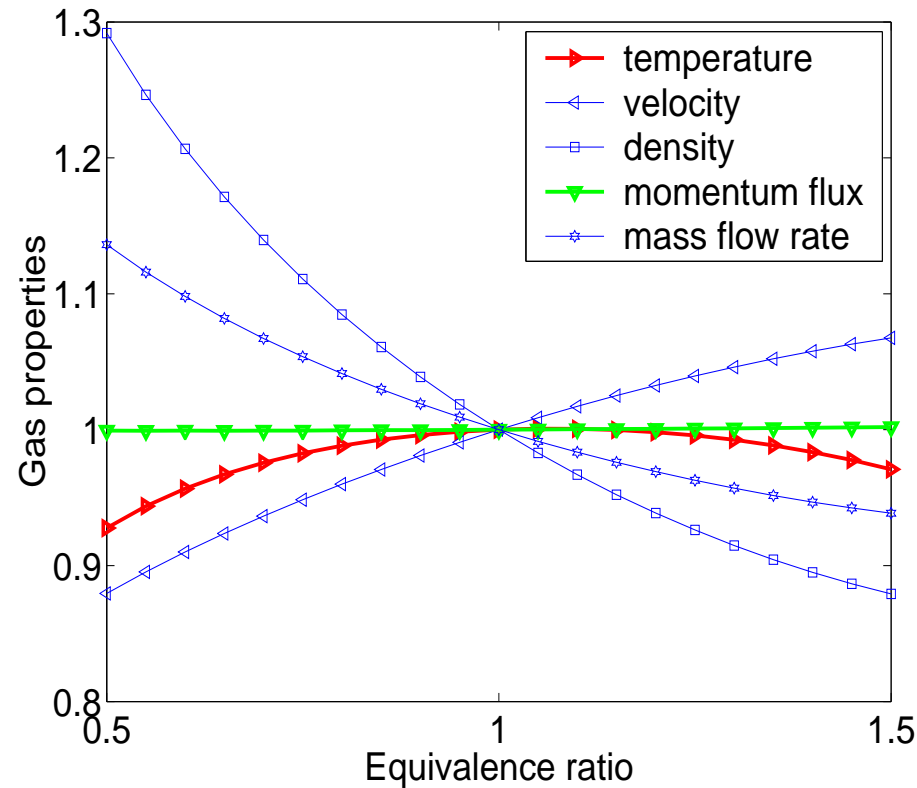


INFLUENCE OF OPERATING CONDITIONS ON COATING MICROSTRUCTURE - FUEL FLOW RATE



PARAMETRIC ANALYSIS OF GAS DYNAMICS

- Gas properties at gun exit for different pressures and equivalence ratios*.



*Equivalence ratio (φ) is the fuel/oxygen ratio divided by its stoichiometric value.

- T_g is a function of φ but changes little with P .
- ρv_g^2 is a linear function of P but does not change with φ .

CONTROL PROBLEM FORMULATION

(Li, Shi and Christofides, IECR, 2003)

- Differential equation for particle flow and thermal field.

$$m_{p_i} \frac{dv_{p_i}}{dt} = \frac{1}{2} A_{p_i} C_{D_i} \rho_g (v_g - v_{p_i}) |v_g - v_{p_i}|, \quad v_{p_i}(0) = v_{p_{i_0}}, \quad i = 1, \dots, N$$

$$\frac{dx_{p_i}}{dt} = v_{p_i}, \quad x_{p_i}(0) = 0, \quad i = 1, \dots, N$$

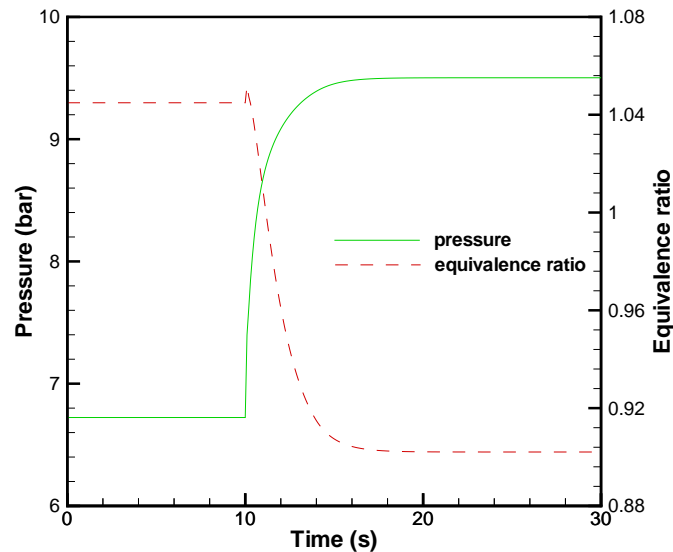
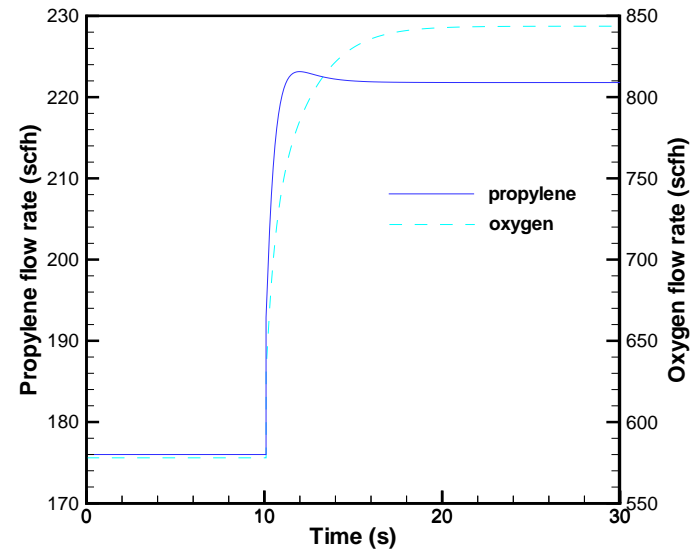
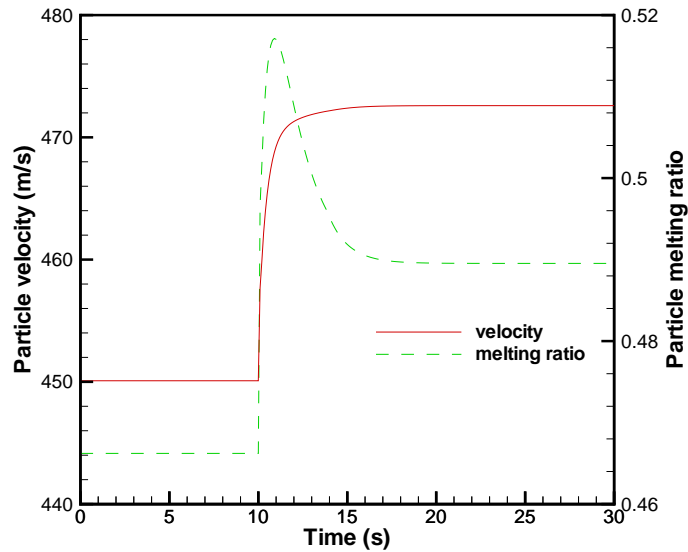
$$hA'_p(T_g - T_{p_i}) = \begin{cases} m_{p_i} c_{p_p} \frac{dT_{p_i}}{dt}, & (T_{p_i} \neq T_m), \quad T_{p_i}(0) = T_{p_{i_0}} \\ \Delta H_m m_{p_i} \frac{df_{p_i}}{dt}, & (T_{p_i} = T_m), \quad f_{p_i}(0) = 0 \end{cases}, \quad i = 1, \dots, N$$

$$t = t_f, \quad \bar{v}_p = v_{p_{sp}}, \quad \bar{f}_p = f_{p_{sp}}$$

- 100 particles of different sizes are traced to calculate volume-based average of velocity, temperature and melting ratio.
- Two PI controllers are used.

CLOSED-LOOP SIMULATION RESULTS

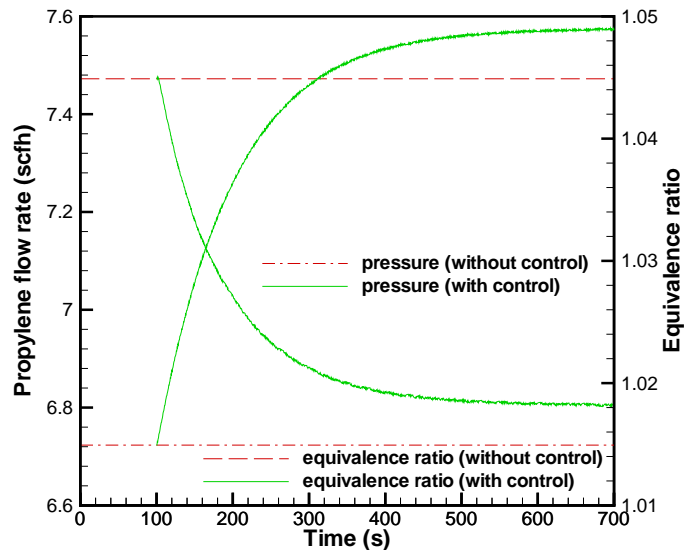
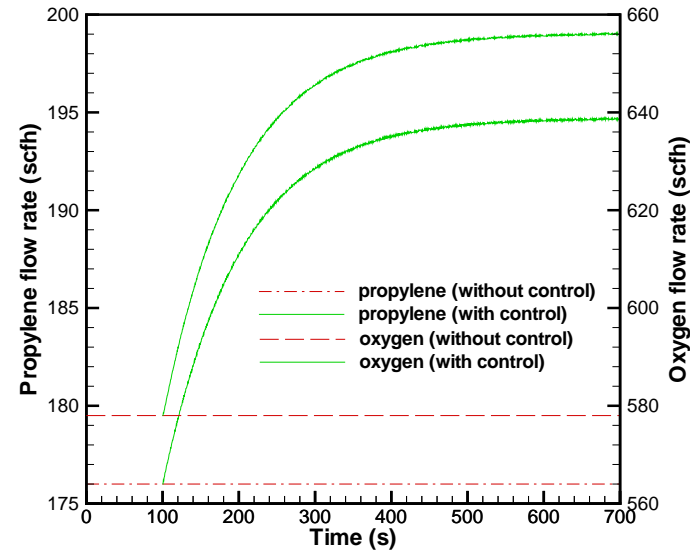
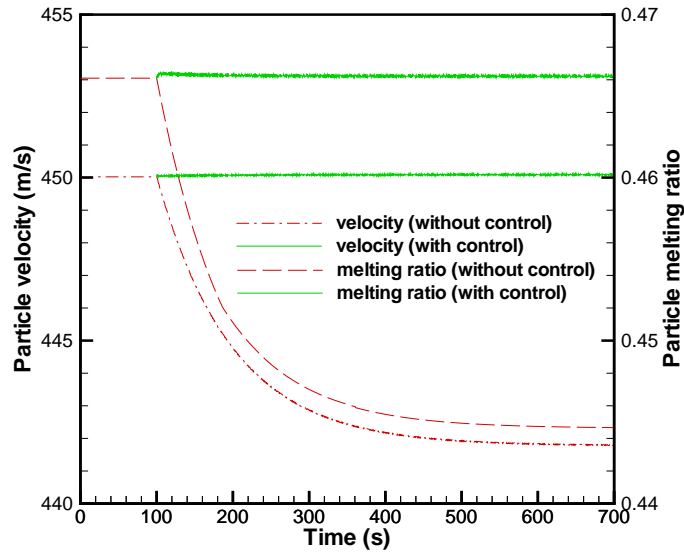
- Step response in the presence of change in set-points (5% increase in particle velocity and 5% increase in particle melting ratio).



- ◇ The feedback controller drives the controlled outputs into the new set-point values in a short time.
- ◇ The system switches from a fuel-rich condition to a fuel-lean condition.

CLOSED-LOOP SIMULATION RESULTS

- Controlled output and manipulated input profiles in the presence of variation in powder size distribution.



- Particle velocity and melting ratio drop as the powder size increases.
- The controller compensates for the variation in powder size distribution and maintains velocity & melting ratio of particles at impact.

SUMMARY

- Modeling and analysis of gas dynamics and particle inflight behavior in the Diamond Jet Hybrid HVOF thermal spray process.
 - ◇ Particle velocity is a strong function of combustion pressure.
 - ◇ Particle temperature is highly dependent on equivalence ratio.
 - ◇ Particle velocity and temperature are highly dependent on particle size.
- Modeling of coating microstructure using stochastic simulation.
 - ◇ Ideal lamellar coating microstructure may be disturbed due to nonuniform melting behavior of particles.
 - ◇ A good melting condition helps to decrease coating porosity and to improve deposition efficiency.
- Formulation and solution of control problem for the Diamond Jet Hybrid HVOF thermal spray process.
 - ◇ Control of particle velocity and melting ratio at impact.
 - ◇ Robustness test of the proposed controller in the presence of external disturbances.

ACKNOWLEDGEMENT

- Financial support from a 2001 ONR Young Investigator Award, is gratefully acknowledged.